

3-27-02

Receipt



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March 22, 2002

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Re: **Serial No.:** 09/408,858
Filed: September 30, 1999
Applicants: J. Richard Hanna, et al.
Title: Automated Merchant Banking Apparatus and Method
Docket No.: D-1116R1

Sir:

Please find enclosed Applicants' request to correct the Filing Receipt.

No fee is deemed required. However, the Commissioner is authorized to charge any necessary fee associated with this request to Deposit Account 09-0428.

If you have any questions, please call.

Very truly yours,

Ralph E. Jocke
Reg. No. 31,029

CERTIFICATE OF MAILING

I hereby certify that this document and the documents indicated as enclosed herewith are being deposited with the U.S. Postal Service, postage prepaid as First Class Mail, in an envelope addressed to Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 this 25th day of March 2002.

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Request to Correct the Filing Receipt for 09/408,858

Errors were noted in the Filing Receipt dated 06/15/2000 for the above identified application 09/408,858. The "Continuing Data" section should read:

THIS APPLN CLAIMS BENEFIT OF 60/109,941 11/25/1998

THIS APPLN CLAIMS BENEFIT OF 60/135,720 05/25/1999

The needed corrections are shown on the attached Filing Receipt sheet. Please correct the Filing Receipt to show the indicated changes.

Respectfully submitted,

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**UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/408,858	09/30/1999	2876	778	D-1116R1	55	21	1

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Date Mailed: 06/15/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

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Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/109,941 11/25/1998
~~THIS APPLN WHICH~~ CLAIMS BENEFIT OF 60/135,720 05/25/1999

Foreign Applications

If Required, Foreign Filing License Granted 10/25/1999

Title

AUTOMATED MERCHANT BANKING APPARATUS AND METHOD

Preliminary Class

235

Data entry by : WISE, APRIL

Team : 2800

Date: 06/15/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED